

1772 1772 PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John Jianhua Chen, Lixiao Wang, Yiqun Wang,

Albert C.C. Chin

Application No.:

09/885568

Filed: For:

June 20, 2001 Dimensionally Stable Balloons

Group Art Unit:

1772

Mail Stop <u>Amendment</u> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Docket No.: S63.2B-9515-US01

#### TRANSMITTAL LETTER

1. In regard to the above-identified application, in addition to this 2 page transmittal letter, we are submitting the attached: 4 page Supplemental Information

Disclosure Statement; 8 page List of References; 58 Cited References; and Return Postcard.

- 2. With respect to fees:
  - □ No additional fee is required.
  - Attached is check(s) in the amount of \$ 180.00
  - Charge any fee deficiency to our Deposit Account No. 22-0350.

#### 3. CONDITIONAL PETITION FOR EXTENSION OF TIME

This conditional petition is being filed along with the papers identified in Item 1 above and provides for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time or for a petition and fee for any other matter petitionable to the Commissioner as required. If any extension of time for the accompanying response is required or if a petition for any other matter is required, by petitioner, Applicant requests that this be considered a petition therefor.

4. Notwithstanding paragraph 2 above, if any additional fees associated with this communication are required and have not otherwise been paid, including any fee associated with the Conditional Petition for Extension of Time, or any request in the accompanying papers for action which requires a fee as a petition to the Commissioner, please charge the additional fees to Deposit Account No. 22-0350.

Please charge any additional fees or credit overpayment associated with this communication to the Deposit Account No. 22-0350.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAU\$

Date: April 19, 2005

Lisa R. Lindquist

Registration No.: 430

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185

Telephone: (952) 563-3000 Facsimile: (952) 563-3001

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Certificate Under 37 CFR 1.8: I hereby certify that this Transmittal Letter and the paper(s) as described herein, are being deposited in the U.S. Postal Service, as FIRST CLASS MAIL, addressed to Mail Stop Amendment, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450, on April 19, 2005.

Elizobeth A Deutsch

Docket No.: S63.2B-9515-US01

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John Jianhua Chen, Lixiao Wang, Yiqun Wang,

Albert C.C. Chin

**Application No.:** 

09/885568 June 20, 2001

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**Dimensionally Stable Balloons** 

**Group Art Unit:** 

1772

Mail Stop <u>Amendment</u> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached form listing the cited references and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed foreign patent and each listed publication other than U.S. patents and U.S. patent application publications is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. patents and U.S. published applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of unpublished U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If the form listing the cited references or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is prior art, or is or is considered to be, material to patentability as defined in §1.56.

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Applications that are listed on the accompanying form listing the cited references as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the form listing the cited references and/or 892 forms from these cases.

	_ I. Th	nis state	ment qualifies as a no-fee Information Disclosure Statement under 37 C.F.R.
§1.97	<i>'(b)</i> or o	otherwis	se because to the knowledge of the undersigned attorney it is being filed
(chec	k all th	at apply	r):
		_ (1)	within 3 months of the filing date of the application (other than a CPA); or
		_ (2)	within 3 months of entry of the national stage; or
		_ (3)	before the mailing of a first Office Action on the merits;
		_ (4)	before the mailing of a first Office Action after the filing of a request for
			continued examination (RCE) under §1.114;
		_ (5)	as part of a continued prosecution application (CPA); or
		_ (6)	during the period of a suspension of action for a CPA under 37 C.F.R.
			§1.103(b).
<u>X</u>	II. T	his stat	ement is believed to require a fee or the submission of a certification under
	37 C.	.F.R. §1	1.97 (c) or otherwise. If this statement is being filed after the latest of: (1)
	three	months	s beyond the filing date of a national application (other than CPA); (2) three
	mont	hs beyo	and the date of entry of the national stage as set forth in §1.491 in an
	inter	national	application; (3) the mailing of a first Office Action on the merits; (4) the
	maili	ng of a	first Office Action after the filing of a request for continued examination
	unde	r §1.114	4; or (5) after the filing of a request for a continued prosecution application,
	but b	efore th	e mailing date of the earlier of a final office action under §1.113, a notice of
	allow	ance ur	nder §1.311 or an action that otherwise closes prosecution in the application,
	then:		
		_ (1)	a certification as specified in §1.97(e) is provided below; or
	X	(2)	a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or
			included with the payment of other papers filed together with this
			statement.

S63.2	B-9515-US01
	III. 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the
	earlier of a final office action under §1.113, a notice of allowance under §1.311, or an
	action that otherwise closes prosecution in the application, but before payment of the
	issue fee, then:
	(1) a certification as specified in §1.97(e) is completed below; and
	(2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or
	included with payment of other papers filed together with this statement.
_X	IV. Fee Authorization. If any fee is due for consideration of this Information Disclosure
	Statement and full payment has not been submitted herewith, regardless of which boxes
	have been checked above, the Commissioner is hereby authorized to charge any
	additional fees associated with this communication to Deposit Account No. 22-0350.
	The Commissioner is hereby authorized to credit any overpayment associated with this
	communication to Deposit Account No. 22-0350.
If par	agraph II.1 or III is checked, also check one of the paragraphs below
	I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in
	this Information Disclosure Statement was first cited in a communication from a foreign
	patent office in a counterpart foreign application not more than three months prior to the
	date of the filing of this information disclosure statement.
	This communication was not received by any individual designated in §
	1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
	I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the
	information disclosure statement was cited in a communication from a foreign patent
	office in a counterpart foreign application, and to the knowledge of the person signing the
	statement after making reasonable inquiry, no item of information contained in the

For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.

than three months prior to the filing of the Information Disclosure Statement.

information disclosure statement was known to any individual designated in 1.56(c) more

Application No. 09/885568
Page 4
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Information Disclosure Statement Attorney Docket No.

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise. Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

VIDAS, ARRETT & STEINKRAUS

Date: April 19, 2005

Registration No.: 4307

6109 Blue Circle Drive, Suite 2000 Minnetonka, MN 55343-9185 Telephone: (952) 563-3000

Facsimile: (952) 563-3001

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# ICATIONS FOR APPLACED T'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY DOCKET NO.: S63.2B-9515-

US01

APPLICATION NO.: 09/885568

APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

FILING DATE: June 20, 2001

GROUP: 1772

(Ose several sheets if necessary)		FILING	FILING DATE: June 20, 2001   GROUP: 1772				
REFE	RENC	E DESIGNATION	U.S.	PATENT AND PUBLIS	HED APPLICATION	N DOCUMENTS	
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6136258	10/24/00	Wang et al.	264/514		
	AB	6156842	12/00	Hoenig et al.	525/171		
	AC	6242063	06/01	Ferrera et al.	428/35.2		
	AD	6284333	09/04/01	Wang et al.	428/35.5		
	AE						
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•		I	FOREIGN P	PATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE	COUNTRY			
	BA						
	ВВ						
	BC						
		OTHER ART (I	ncluding A	uthor, Title, Date, Pertine	nt Pages, Ect.)		
	CA	P. Peyser, "Glass Transit Immergut eds., VI-258-2		tures of Polymers" in Polymers	mer Handbook 3 <sup>rd</sup> ed.	J. Brandrup and E.H.	
	СВ	P.J. Collings, "Liquid Cr	rystals, Nature's Delicate Phase of Matter", pp. 20-23, 162-180 (1990).				
	СС	I.C. Khoo, "Liquid Cryst	als Physical	Properties and Nonlinear C	Optical Phenomena", p	. 5-11, 1995.	
	CD	Hal-Garcia Cardiology A http://www.hgcardio.com/		lay 1, 2000, Percutaneous T	Fransluminal Coronary	Aigioplasty	
	CIE	Polymers- A Property Database Copyright CRC Press, LLC, 2000.					
	CE	,,		, ,			

not in conformance and not considered. Include copy of this form with next communication to applicant.

## LIST OF PATENTS APPLIE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

ATTY DOCKET NO.: S63.2B-9515-US01

**APPLICATION NO.: 09/885568** 

APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

FILING DATE: June 20, 2001

**GROUP:** 1772

REFERENCE DESIGNATION	<b>U.S.</b> 1	PATENT AND PUBLISHED APPLICATION DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	RE 32,983	07/11/89	Levy	428/36.92	
	AB	3991014	11/09/76	Kleinschuster	260/47	
	AC	4067852	01/10/78	Calundann	260/47	
	AD	4083829	04/11/78	Calundann et al.	260/47	
	AE	4130545	12/19/78	Calundann	260/40	
	AF	4154244	05/15/79	Becker	128/349	
	AG	4161470	07/17/79	Calundann	260/40	
	AH	4318842	03/09/82	East et al.	524/605	
	AI	4331786	05/25/82	Foy et al.	525/408	
	AJ	4386174	05/31/83	Cogswell et al.	524/27	
	AK	4412059	10/83	Krigbaum et al.	528/192	
	AL	4417021	11/83	Naakamura	524/538	
-	AM	4433083	02/21/84	Cogswell et al.	524/27	
	AN	4438236	03/20/84	Cogswell et al.	525/165	
	AO	4444817	04/84	Subramanian	428/36	
	AP	4448195	05/84	LeVeen	12/344	
	AQ	4468364	08/28/84	Ide	264/176	
	AR	4829683	05/89	Chikamori et al.	36/51	
	AS	4963313	10/16/90	Noddin et al.	264/573	
-	AT	5059751	11/22/91	Woodman et al.	200/61.45	
	AU	5195969	03/23/93	Wang et al.	604/96	
	AV	5254089	10/19/93	Wang	604/96	
	AW	5264260	11/23/93	Saab	428/35.5	
	AX	5270086	12/14/93	Hamlin	428/35.2	
	AY	5290306	03/01/94	Trotta et al.	606/194	
	AZ	5304340	04/19/94	Downey	264/521	
EXAMINI	ER		DATE CO	NSIDERED		

LIST OF PATROTS AND PUBE CATIONS FOR AND CHANGE INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

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REFERENCE DESIGNATION			U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS			
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5306246	04/26/94	Sahatjian et al.	604/96	
	AB	5328468	07/12/94	Kaneko et al.	604/96	
	AC	5330428	07/19/94	Wang	604/96	
	AD	5348538	09/20/94	Wang et al.	604/96	
	AE	5358486	10/25/94	Saab	604/96	
	AF	5389314	02/14/95	Wang	264/25	
	AG	5441489	08/15/95	Utsumi et al.	604/280	
	AH	5447497	09/05/95	Sogard et al.	604/101	
	AI	5458572	10/17/95	Campbell et al.	604/96	
	AJ	5512051	04/30/96	Wang et al.	604/96	
	AK	5554120	09/10/96	Chen et al.	604/96	
	AL	5556383	09/17/96	Wang et al.	604/96	
•	AM	5565530	10/15/96	Hattori et al.	525/419	
	AN	5587125	12/24/96	Roychowdhury	264/515	
	AO	5702418	12/30/97	Ravenscroft	606/198	
	AP	5704913	01/06/98	Abele et al.	604/101	
	AQ	5733980	03/98	Cozewith et al.	525/314	
	AR	5755690	05/26/98	Saab	604/96	
	AS	5797877	08/25/98	Hamilton et al.	604/96	
	AT	5807327	09/15/98	Green et al.	604/96	
	AU	5830182	11/03/98	Wang et al.	604/96	
	AV	5833657	11/98	Reinhardt et al.	604/101.02	
	AW	5863488	01/99	Moriya	264/512	
	AX	6059751	05/09/00	Ostapchenko et al.	604/96	
	AY	6086556	07/11/00	Hamilton et al.	604/96	
•	AZ	6124007	09/26/00	Wang et al.	428/35.2	
EXAMINI	ER	·	DATE CO	NSIDERED		

# LIST OF PATENTS AND PUBSICATIONS FOR APPLICANTS INFORMATION DISCUSSIVE STATEMENT

ATTY DOCKET NO.: S63.2B-9515-**APPLICATION NO.: 09/885568** US01 APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

		sheets if necessary)	FILING DATE: June 20, 2001	GROUP: 1772
		OTHER ART (Inclu	Iding Author, Title, Date, Pertiner	t Pages, Ect.)
CA		Alger, Polymer Science Dicti	ionary, 2 <sup>nd</sup> Edition, 1997.	
СВ	-	Yong Yang, Hydroxypropylo	ellulose, Polymer Data Handbook, G	Oxford University Press, Copyright 1999.
СС			ing Studies of In Situ Composites Barring Studies St	ased on Blends of Liquis Crystalline 392-393 April 1992.
СЪ			rement of Molecular Orientation of I que, Polymer Preprints, pp. 298-99,	ciquid Crystalline Polymer in situ Composites April 1992.
CE		J.M. Schultz, "Structure Evol	lution In PET Fibers". Polymer Prep	rints, 304-306 April 1992.
CF		J. Liu et al., "Crystal Structur Preprints, 337-338 April 199		motropic Liquid Crystal Polymers", Polymer
CG			Tolecular Dynamics Studies of Brandfaces", Polymer Preprints, 539-540	hed and Linear Hydrocarbons at Liquid- April 1992.
СН			h, Polyamide Thermoplastic Elastor d C.R. Rader, eds., pp. 258-281.	ners, in Handbook of Thermoplastic
CI		B.N. Epstein et al., "Polymer	Blends-An Overview", Polymer Pr	eprints, 42-43, June 1991.
СЈ			bility Maps for Copolymer-Copolyn Data", Polymer Preprints, 44-45, Ju	ner Blends: A Comparison of Theoretical ne 1991.
СК		W.M. Cheng et al., "Main Cheng et al., "Main Cheng et al., "Properties", Polymer Preprint	nain-Side Chain Liquid Crystal Poly ats, 50-51, June 1991.	mer Blends for Improved Physical
CL		R.R. Matheson, Jr., "Polymer	rs, Processes and Additives as Syste	ms", Polymer Preprints, 52-53, June 1991.
СМ		S. Allen et al., "The Effect of June 1991.	f Additives on Tensile Properties of	PPD-T Fibers", Polymer Preprints, 54-55,

**EXAMINER** 

DATE CONSIDERED

# LIST OF PATENTS AND PUBLISCATIONS FOR APPLICANTS INFORMATION DISCUSSIVE STATEMENT

**EXAMINER** 

ATTY DOCKET NO.: S63.2B-9515-US01 APPLICATION NO.: 09/885568

APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

ATT DICANT: John Hanna Chen, Dixiao Wang, Tiquii Wang, Albert C.C. Chi

(Use	e several	sheets if necessary)	FILING DATE: June 20, 2001	<b>GROUP:</b> 1772
		OTHER ART (Inclu	ding Author, Title, Date, Pertiner	nt Pages, Ect.)
CA	A	J.R. Runt et al., "Phase Beha Polyarylate", Polymer Prepri	vior and Crystallization in Blends of itns, 56-57, June 1991.	f Poly(butyleneterephthalate) and
CI	В	T.W. Dheng et al., "Property and Impact Modifier", Polymer Prep		Blends of Polycarbonate, Brittle Polymers, and an
CO	c			tallizable Polybutadiene Isomers by ", Polymer Preprints, 60-61 June 1991.
CI	D	U.M. Vakil and G.C. Martin, <i>Preprints</i> , 62-63, June 1991.	"Analysis of Structure-Property Re	lations in Crosslinked Epoxies", Polymer
CI	E	Superex Polymer, Inc. press a Barrier and Structural Perform		clable PET-LCP Alloys with Enhanced
CI	F	Hoechst Celanese Vectra © I	iquid Crystal Polymer Product Info	rmation
CO	G -	Superex Polymer, Inc Advertechnologies",	isement, "Building product value th	rough new processing and application
CI	н —	Xydar© product data, Septen	nber 1994.	
CI	I -	Amoco Engineering Plastics	for Performance and Value product	brochure.
CJ	J	B. Miller, "Rotating Dies Pav	ve Way for Extruding LCP", Plastice	s World.
CI	К	A.M. Adur and L.J. Bonis, "I	PET-LCP Compatibilized Alloys: A	New Unique Development"
CI	L	G.C. Rutledge, "Modelling C Preprints, 537-538, April 199		quid Crystalline Polymers", Polymer
. CI	М	R.K. Menon, "Kinetic Theory 1992.	y for Liquid Crystalline Polymer So	lutions", Polymer Preprints, 574-575, April

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

## LIST OF PATRICES AND PUR INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

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APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

FILING DATE: June 20, 2001	<b>GROUP:</b> 1772					
ncluding Author, Title, Date, Pertine	nt Pages, Ect.)					
•	Simulation of Macromolecules Conformations in Processes of Intra and ', Polymer Preprints, 578-579, April 1992.					
	k Amide Blends", Polymer Engineering and					
	A Elastomers", J. Polymer Sci: Part B: Polmer					
nted Networks of Comb-Shaped Liquid	Crystalline Polymers", Polymer Preprints,					
clastomers by Chemical and Physical Co	rosslinking", Polymer Preprints, 708-709,					
	ne Multiblock Copolymers With Definite 1993.					
on and Strain-Induced Liquid-Crystalline Phase Transition of Networks of Semi- reprints, 729-730, August 1993.						
	ans for Rigid-Rod Molecular Inforcement of 1993.					
	hitecture on Gas Transport Properties of ust 1991.					
nchez, "Gas Solubility in Polymers and	Blends", Polymer Preprints, 392-393,					
W. Brostow, "Properties of Polymer Liquid Crystals: Choosing Molecular Structures a Vol. 31, 979-995, June 1990.						
's Condensed Chemical Dictionary, 12 <sup>th</sup>	ed." Pp. 704, 932-934, 936-939 (1993).					
cyclopedia of Chemical Technology, pp	5. 148-149, 391-395, 814-819, 924-939					
DATE CONSIDERED						
	ncluding Author, Title, Date, Pertinere, "Simulation of Macromolecules Coning", Polymer Preprints, 578-579, April 1000 of Polyamide and Polyether Bloc 679-684, May 1989.  t, "Cryodilation of Thermoplastic PEBA 1436, 1991.  Inted Networks of Comb-Shaped Liquid Clastomers by Chemical and Physical Companova, "Synthesis of Liquid Crystalling", Polymer Preprints, 714-715, August 1993.  Schen, "Telechelic Oligoaramides-A Mean", Polymer Preprints, 731-733, August 1991.  Paul, "The Influence of Molecular Archers", Polymer Preprints, 372-373, August 1990.  Proposition of Polymer Liquid Crystals: Choosing Inchez, "Gas Solubility in Polymers and 1990.  Page 1990.  Proposition of Macromolecular Archers, "Gas Solubility in Polymers and 1990.  Proposition of Chemical Dictionary, 12th 1990.					

# LIST OF PATENTS AND PURISH ATIONS FOR ARE IRANGES INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

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**APPLICATION NO.:** 09/885568

APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

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		F	OREIGN PA	ATENT DOCUMENTS	
	DO	CUMENT NUMBER	DATE	COUNTRY	
В	BA 030	69 734	05/23/90	EP	
В	<b>BB</b> 0 42	20 488	04/03/91	EP	
В	BC 09	34 755 A2	08/11/99	EP	
В	BD 100	08 363	06/14/00	EP	
В	BE 92/	19316	11/12/92	wo	
В	BF 92/	19440	11/12/92	wo	
В	<b>3G</b> 93/2	24574	09/12/93	wo	
E	BH 95/	23619	09/08/95	wo	
E	BI 96/	00752	01/11/96	wo	
E	3J 96/	04951	02/22/96	wo	
. B	<b>3K</b> 97/3	24403	07/10/97	wo	,
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UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED) **ATTY DOCKET NO.:** S63.2B-9515-US01

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APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

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US APPLICATIONS RELATED BY PRIORITY (COPPES NOT ENCLOSED)

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APPLICANT: John Jianhua Chen, Lixiao Wang, Yiqun Wang, Albert C.C. Chin

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#### US APPLICATIONS RELATED BY PRIORITY

EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA	09/426384	10/25/99		Chen et al.	
	EB	09/696378	10/25/99		Wang et al.	
	EC					
	ED					
	EE					
	EF					
EXAMIN	ER			DATE CONSII	DERED	